

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
L. Michael Maritzen, et al. ) Examiner: Unassigned  
Serial No.: Unassigned ) Art Unit: Unassigned  
Filed: Unassigned )  
For: METHOD AND APPARATUS FOR USING A )  
KIOSK AND A TRANSACTION DEVICE IN )  
AN ELECTRONIC COMMERCE SYSTEM )  
which is a divisional of: )  
Serial No. 09/733,513 )  
Filed: December 7, 2000 )

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

# INFORMATION DISCLOSURE STATEMENT

Sir:

Applicant hereby request consideration of the enclosed Information Disclosure Statement pursuant to 37 C.F.R. § 1.97(c).

Attached are the PTO Forms 1449 and Forms 892 from the parent application filed on December 7, 2000, (Serial No. 09/733,513). This previous application is relied upon for an earlier filing date under 35 U.S.C. 120.

"Express Mail" mailing label number EV341060497US

Date of Deposit: November 6, 2003

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Michelle Begay  
(Typed or printed name of person mailing paper or fee)

Michelle B.  
(Signature of person mailing paper or fee) Date

November 6, 2003

Pursuant to C.F.R. 1.98(d), copies of the references are not being provided herewith since they were previously sent to the patent and Trademark Office. Please consider theses cited documents in the currently pending 1.53(b) continuation in part application filed October 4, 1999.

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: November 6, 2003

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**Substitute for Form 1449/PTO**

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

*(use as many sheets as necessary)*

<i>Complete if Known</i>	
Application Number	09/733,513
Filing Date	12/7/00
First Named Inventor:	L. M. Maritzen
Art Unit	3627
Examiner Name	K. Rice
Attorney Docket Number	080308-B110

Sheet

1 of 1

**Attorney Docket Number**

080398.P410

## **U.S. PATENT DOCUMENTS**

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Examiner Signature		Date Considered	
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<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

*(use as many sheets as necessary)*

Substitut for Form 1449A/PTO (Modified)				Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				Application Number	09/733,513
				Filing Date	December 7, 2000
				First Named Inventor:	L. Michael Maritzen
				Group Art Unit	3627
				Examiner Name	Kenneth R. Rice
Sheet	2	of	2	Attorney Docket Number	80398.P410

#### **OTHER ART - NO PATENT LITERATURE DOCUMENTS**

Examiner Signature		Date Considered	
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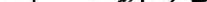
\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

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le

FORM PTO-892 (REV 2-92)		U. S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		SERIAL NO. 09/733,513	GROUP ART UNIT 3627	ATTACHMENT TO PAPER NUMBER	5
NOTICE OF REFERENCES CITED		APPLICANT(S) Maritzen et al.					
U. S. PATENT DOCUMENTS							
*	DOCUMENT NO.	DATE	NAME		CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
A	5781723	7/98	Yee et al.		395	186	-----
B	6044382	3/00	Martino		707	505	6/20/97
C							-----
D							-----
E							-----
F							-----
G							-----
H							-----
J							-----
I							-----
K							-----
FOREIGN PATENT DOCUMENTS							
*	DOCUMENT NO.	DATE	COUNTRY	NAME	CLASS	SUBCLASS	PERTINENT SHTS DWG   PP SPEC
L					-----	-----	-----   -----
M					-----	-----	-----   -----
N					-----	-----	-----   -----
O					-----	-----	-----   -----
P					-----	-----	-----   -----
Q					-----	-----	-----   -----
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)							
R							
S							
T							
U							
EXAMINER	Kenneth R. Rice		DATE	1/27/03			
* A copy of this reference is not being furnished with this office action. (See Manual of Patent Examining Procedure, section 707.05(a).)							

Examiner Signature		Date Considered <u>7/16/03</u>	
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**Examiner Signature** \_\_\_\_\_ **Date Considered** \_\_\_\_\_

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